

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-01-19

2. **Agency:** 011

3. **Bureau:** 10

4. **Name of this Investment:** FBI National Instant Criminal Background Check System (NICS)

5. **Unique Project (Investment) Identifier (UPI):** 011-10-01-03-01-2616-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2001 or earlier

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The NICS prevents the transfer of a firearm to persons who are prohibited from possessing or receiving a firearm while allowing the timely transfer to those individuals that are not prohibited. Title 18, Section 922 of the United States Code (U.S.C.), defines who is prohibited from shipping, transporting, possessing, or receiving any firearm or ammunition in or affecting commerce. The NICS was created through the collaborative efforts of the FBI; the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF); the Department of Justice (DOJ); local, state, and other federal law enforcement agencies; and private contractor support. The NICS Regulation, Title 28, Code of Federal Regulations (C.F.R.), Part 25, Subpart A requires the NICS to provide Federal Firearms Licensees (FFLs) with an immediate response regarding the person for whom the receipt of a firearm would violate the U.S.C. If the initial response is a "delay," the NICS is required to provide the FFLs with a final determination within three business days. The NICS Regulation provides the states with the option to act as a point of contact (POC) for NICS transactions and allows the FBI to serve as the POC in those states that have chosen not to perform the checks. The NICS Regulation required development of other electronic means of contact and the NICS Electronic Check (E-Check) was developed. This function enables the FFLs to initiate an unassisted NICS background check for firearm transfers via the Internet. When the FFLs conduct a NICS check, a name search is conducted for matching records in the following three databases: (1) the National Crime Information Center; (2) the Interstate Identification Index and (3) the NICS Index. During FY2007, the FBI Criminal Justice Information Services (CJIS) Division's NICS Section initiated an extensive Business Process Redesign study to seek opportunities to improve the NICS. On 08-13-2009, the NICS Section initiated a requirements study for the development of a new NICS that will encompass all internal and external processes, interfaces, and functionality required throughout the NICS community and will outline required modifications and improvements to the NICS to allow continued system operating capabilities.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link

NONE

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2008-05-16
- b. **Provide the date of the most recent or planned approved project charter.** 2010-04-07

10. Contact information?

- a. **Program/Project Manager Name:** *
Phone Number: *
Email: *
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** John A. Strong
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 11

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	1549	DJFA9G902095	GS35F4381G		*	*	\$89.0	Firm Fixed Price	N	2008-12-01	2009-12-30	N	0200-nics call center support
Awarded	1549	DJFA9G0805114	GS35F0287T		*	*	\$2.7	Time and Materials	Y	2009-07-29	2010-12-30	N	0200-PMSS TOR#559 CJIS NICS DOCs
Awarded	1549	DJFA6D605600	V797049A3GP070		*	*	\$536.0	Firm Fixed Price	N	2005-10-19	2006-09-30	N	CLIN AA02 O&M MANAGEMEN T SERV
Awarded	1549	DJFM7D701300	W91QUZ04D0004		*	*	\$194.0	Cost Plus Incentive	N	2006-11-22	2011-09-30	Y	0200-hardware/maintenance

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *

b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *

- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.
3. Provide the date of the most recent or planned Quality Assurance Plan 2010-04-01
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2010-03-29
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-13

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
O&M 2013	SS	*	*	*	2012-10-01	*	2013-09-29	*	*	*
Upgrades to Hardware and Software for System Availability Improvements	DME	*	\$11.2	\$11.2	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Concept Exploration - NICS Business Process Redesign Study	DME	*	\$1.0	\$1.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
III/NCIC Rehost	DME	*	\$5.0	\$4.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
O&M 2012	SS	*	\$9.2	\$0.0	2011-10-01		2012-09-29		0.00%	0.00%
O&M 2011	SS	*	\$8.9	\$3.3	2010-10-01	2010-10-01	2011-09-29		37.09%	37.09%
Project Management Office	DME	*	*	*	2013-10-01	*	2014-09-29	*	*	*
O&M 2004	SS	*	\$7.5	\$7.5	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FTE	DME	*	\$42.0	\$42.0	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
O&M (2002 and earlier)	SS	*	\$12.0	\$12.0	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
DME (2002 and earlier)	DME	*	\$67.5	\$67.5	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
NICS Initiative Development Efforts	DME	*	\$1.2	\$5.3	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2006	SS	*	\$7.4	\$7.4	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2005	SS	*	\$6.9	\$6.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
PC Client System Efficiencies	DME	*	\$3.3	\$0.1	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Upgrade - Phase I										
O&M 2015	SS	*	*	*	2014-10-01	*	2015-09-29	*	*	*
Project Management Office	DME	*	\$0.1	\$0.1	2009-10-01	2009-10-01	2010-09-29	2010-09-30	100.00%	100.00%
O&M 2008	SS	*	\$4.8	\$4.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FTE	DME	*	\$47.7	\$47.7	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	DME	*	\$46.3	\$46.3	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Enterprise Storage Area Network - Design and Implementation	DME	*	\$5.8	\$9.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
Project Management Office	DME	*	\$0.1	\$0.0	2011-10-01		2012-09-29		0.00%	0.00%
FTE	DME	*	\$42.6	\$42.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Security Upgrades	DME	*	\$1.7	\$1.7	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	DME	*	\$133.4	\$133.4	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
O&M 2014	SS	*	*	*	2013-10-01	*	2014-09-29	*	*	*
O&M 2010	SS	*	\$14.5	\$14.8	2009-10-01	2009-10-01	2010-09-29		100.00%	99.00%
Project Management Office	DME	*	*	*	2014-10-01	*	2015-09-29	*	*	*
Project Management Office	DME	*	\$0.3	\$0.3	2010-10-01	2010-10-01	2011-09-29		75.00%	75.00%
Project Management Office	DME	*	\$0.9	\$0.9	2008-10-01	2008-10-01	2009-09-29	2009-09-30	100.00%	100.00%
FTE	DME	*	\$2.7	\$2.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Support Services	DME	*	\$1.0	\$1.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2009	SS	*	\$9.6	\$9.6	2008-10-01	2008-10-01	2009-09-29	2009-09-30	100.00%	100.00%
Automated Appeals Management Database	DME	*	\$0.4	\$1.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
O&M 2007	SS	*	\$7.8	\$7.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Interim Disaster Recovery	DME	*	\$0.5	\$0.5	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Upgrades to Hardware and Software for System Availability Improvements	DME	*	\$0.8	\$0.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	DME	*	\$46.0	\$46.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
O&M 2003	SS	*	\$7.1	\$7.1	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Project Management Office	DME	*	*	*	2012-10-01	*	2013-09-29	*	*	*
NICS Requirements Analysis Study	DME	*	\$1.3	\$1.3	2009-09-30	2009-08-13	2010-09-29	2010-10-18	100.00%	100.00%
Architecture Planning Support Services	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
System Development Support	DME	*	\$0.5	\$0.3	2009-10-01	2009-10-01	2010-09-30		77.05%	77.05%
Architecture Planning Support Services	DME	*	\$0.5	\$0.0	2010-10-01		2011-09-30		0.00%	0.00%
Architecture Planning Support Services	DME	*	\$0.3	\$0.3	2009-10-01	2009-10-01	2010-09-30		100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Architecture Planning Support Services	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
Architecture Planning Support Services	DME	*	\$0.5	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
O&M 2016	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
Project Management Office	DME	*	*	*	2015-10-01	*	2016-09-30	*	*	*
Architecture Planning Support Services	DME	*	*	*	2015-10-01	*	2016-09-30	*	*	*
Architecture Planning Support Services	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
NICS Requirements Analysis Study	DME	*	\$1.4	\$0.8	2010-10-01	2010-10-01	2011-10-01		56.00%	56.00%
System Development Support	DME	*	\$1.5	\$0.1	2010-10-01	2010-10-01	2011-09-30		8.39%	8.39%

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.
2010-11-01

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

no

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Technology	IT Contribution to Process, Customer, or Mission	5 percent of technical advancements tied to Strategic Objectives	annual	number of advancements	up	This is a new measure in FY 2008. Five percent.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	5 percent	5%	Met	2011-02-23
Processes and Activities	Innovation and Improvement	Achieve a Compliancy Rate of processing transactions according to established procedures above 90 percent	monthly	percent	maintain	This is a new measure in FY 2008. The Compliancy Rate for FY 2007 was 98 percent.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Above 90 percent	98%	Met	2011-02-23
Customer Results	Customer Satisfaction	Achieve a Customer Satisfaction rating above 95 percent	annual	percent	maintain	This is a new measure for FY 2008. As of 09/2007, the NICS had an overall Customer Satisfaction rating of 99 percent.	2007-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	95 percent or higher	94%	Met	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Achieve an Immediate Determination Rate of at	annual	percent	maintain	77.32 percent	2002-02-13

		least 90 percent of higher					
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2003	90 percent or higher	91.03 percent	Met	2011-02-23
Customer Results	Service Availability	Achieve System Availability of 98 percent or higher	monthly	percent	maintain	This is a new measure for FY 2003. 98 percent or higher.	2002-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2003	98 percent or higher	99.25 percent	Met	2011-02-23
Processes and Activities	Innovation and Improvement	Implement at least 3 percent of the quick wins identified from the NICS Business Process Redesign Study	annual	number of quick wins	maintain	This is a new measure in FY 2008. The NICS identified a total of 27 Quick Wins that could be implemented from the NICS Business Process Redesign Study.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	At least 3 percent	11%	Met	2011-02-23
Technology	Internal Data Sharing	Implement one or more information sharing initiatives per year	annual	number of initiatives	up	This is a new measure in FY 2008. One or more a year.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	1 or more a year	4%	Met	2011-02-23
Technology	Internal Data Sharing	Implement one or more information sharing initiatives per year	annual	number of initiatives	up	1	2007-10-01

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	1 or more a year	7	Met	2011-02-23
Technology	Internal Data Sharing	Implement one or more information sharing initiatives per year	annual	number of initiatives	up	3	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	1 or more per year	3	Met	2011-02-23
Technology	Internal Data Sharing	Implement one or more information sharing initiatives per year	annual	number of initiatives	up	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	1 or more per year	TBD	Not Due	2011-02-23
			2012	1 or more per year	TBD	Not Due	2011-02-23
			2013	1 or more per year	TBD	Not Due	2011-02-23
			2014	1 or more per year	TBD	Not Due	2011-02-23
			2015	1 or more per year	TBD	Not Due	2011-02-23
Technology	Internal Data Sharing	Implement one or more information sharing initiatives per year	annual	number of initiatives	up	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2016	1 or more per year	TBD	Not Due	2011-02-23

Technology	Data Storage	Increase storage capability for NICS Index Records	annual	number of records	up	As of 09/30/2002 there were 2.8 million records in the NICS Index.	2002-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2003	10 percent increase per year	3.2 million	Met	2011-02-23
Technology	Data Storage	Increase storage capability for NICS Index Records	annual	number of records	up	3.2 million	2003-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2004	10 percent increase per year	3.6 million	Met	2011-02-23
Technology	Data Storage	Increase storage capability for NICS Index Records	annual	number of records	up	3.6 million	2004-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	10 percent increase per year	3.9 million	Met	2011-02-23
Technology	Data Storage	Increase storage capability for NICS Index Records	annual	number of records	up	3.9 million	2005-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	10 percent increase per year	4.2 million	Met	2011-02-23
Technology	Data Storage	Increase storage capability for NICS Index Records	annual	number of records	up	4.2 million	2006-10-01

			Fiscal Year	Target	Actual Results	Target “Met” or “Not Met”	Last Updated
Technology	IT Contribution to Process, Customer, or Mission	Increase system res onsi vene ss	2007	10 percent increase per year	4.7 million	Met	2011-02-23
			annual	percent	up	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target “Met” or “Not Met”	Last Updated
			2011	3 percent increase per year	TBD	Not Due	2011-02-23
			2012	3 percent increase per year	TBD	Not Due	2011-02-23
			2013	3 percent increase per year	TBD	Not Due	2011-02-23
			2014	3 percent increase per year	TBD	Not Due	2011-02-23
			2015	3 percent increase per year	TBD	Not Due	2011-02-23
Processes and Activities	Productivity	Increase the average number of transactions processed per NICS Examiner	annual	average number of transactions	up	This is a new measure in FY 2008. As of 9/30/07, the NICS Personal Production Average was 2.208 transactions per hour.	2007-10-01
			Fiscal Year	Target	Actual Results		Target “Met” or “Not Met”
			2008	Increase by 2 percent	16.13%	Met	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the collaboration and information sharing with customers, users, and external partners	annual	number of ORIs	up	128 new ORIs	2008-10-01

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	1 or more per year	128 new ORI's	Met	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-check users by 5 percent over the previous year	annual	number of records	up	This is a new measure in FY 2008. As of 9/30/07, there were 1,842 FFLs submitting NICS background checks via the NICS E-Check.	2002-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Increase by 5 percent over the previous year	29.60%	Met	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-check users by 5 percent over the previous year	annual	number of contributors	up	1857	2008-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Increase by 5 percent over the previous year	2193	Met	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-check users by 5 percent over the previous year	annual	number of users	up	2193	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	Increase by 5 percent over the previous year	2943	Met	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-check users by 5 percent over the previous year	annual	number of users	up	TBD	2009-10-01

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
			2012	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
			2013	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
			2014	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-Check users by 5 percent over the previous year	annual	users	up	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2016	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
Customer Results	New Customers and Market Penetration	Increase the number of new NICS E-Check users by 5 percent over the previous year	annual	number of users	up	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2015	Increase by 5 percent over the previous year	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of states contributing to the NICS Index	annual	number of contributors	up	This is a new measure for FY 2008. As of 9/30/07, there were 41 states contributing to the NICS Index.	2007-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

			2008	At least 1 new state per year	5	Met	2011-02-23
Processes and Activities	Productivity	Increase the number of transactions processed by the NICS	annual	number of records	up	As of 09/30/2002 over 8.8 million transactions were processed by the NICS.	2002-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2003	1 percent increase per year	8.5 million	Met	2011-02-23
Processes and Activities	Productivity	Increase the number of transactions processed by the NICS	annual	number of records	up	8.5 million	2003-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2004	1 percent increase per year	8.6 million	Met	2011-02-23
Processes and Activities	Productivity	Increase the number of transactions processed by the NICS	annual	number of records	up	8.6 million	2004-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	1 percent increase per year	8.8 million	Met	2011-02-23
Processes and Activities	Productivity	Increase the number of transactions processed by the NICS	annual	number of records	up	8.8 million	2005-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	3 percent increase per year	9.7 million	Met	2011-02-23
Processes and Activities	Productivity	Increase the number of transactions processed	annual	number of records	up	9.7 million	2006-10-01

by the NICS

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	3 percent increase per year	10.9 million	Met	2011-02-23
Technology	Data Storage	Increase the number of Web services	annual	number of services	up	10	2008-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	1 or more a year	48	Met	2011-02-23
Technology	Data Storage	Increase the number of Web services	annual	number of services	up	15	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	3 percent increase per year	53	Met	2011-02-23
Processes and Activities	Innovation and Improvement	Maintain Accuracy Rate of processing transactions according to established procedures above 97 percent	monthly	percent	maintain	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Above 97 percent	99.62 percent	Met	2011-06-27
			2012	Above 97 percent	TBD	Not Due	2011-02-18
			2013	Above 97 percent	TBD	Not Due	2011-02-18
			2014	Above 97 percent	TBD	Not Due	2011-02-18

			2015	Above 97 percent	TBD	Not Due	2011-02-18
Processes and Activities	Innovation and Improvement	Maintain Accuracy Rate of processing transactions according to established procedures above 97 percent	monthly	percent	maintain	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2016	Above 97 percent	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	91.63 percent	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	90 percent or higher	91%	Met	2011-02-18
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	91.03 percent	2003-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2004	90 percent or higher	91.85 percent	Met	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	91.85 percent	2001-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	90 percent or higher	91.45 percent	Met	2011-02-23

Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	91.45 percent	2005-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	90 percent or higher	91.40 percent	Met	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	91.40 percent	2006-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	90 percent or higher	91.63 percent	Met	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	90 percent	2008-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	90 percent or higher	91.88 percent	Met	2011-02-23
			2010	90 percent or higher	91.36 percent	Met	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

			2011	90 percent or higher	TBD	Not Due	2011-02-23
			2012	90 percent or higher	TBD	Not Due	2011-02-23
			2013	90 percent or higher	TBD	Not Due	2011-02-23
			2014	90 percent or higher	TBD	Not Due	2011-02-23
			2015	90 percent or higher	TBD	Not Due	2011-02-23
Mission and Business Results	Criminal Investigation and Surveillance	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	annual	percent	maintain	TBD	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2016	Above 90 percent	TBD	Not Due	2011-02-23
Processes and Activities	Innovation and Improvement	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	monthly	percent	maintain	98 percent	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Above 90 percent	99.37 percent	Met	2011-02-23
			2010	Above 90 percent	99.68 percent	Met	2011-02-23
Customer Results	Service Availability	Maintain System Availability of 98 percent or higher	monthly	percent	maintain	99.25 percent	2003-10-01
			Fiscal Year	Target	Actual Results	Target	Last Updated

						"Met" or "Not Met"	
			2004	98 percent or higher	99.14 percent	Met	2011-02-23
Customer Results	Service Availability	Maintain System Availability of 98 percent or higher	monthly	percent	maintain	99.14 percent	2004-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	98 percent or higher	99.00 percent	Met	2011-02-23
Customer Results	Service Availability	Maintain System Availability of 98 percent or higher	monthly	percent	maintain	99 percent	2005-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	98 percent or higher	99.64 percent	Met	2011-02-23
Customer Results	Service Availability	Maintain System Availability of 98 percent or higher	monthly	percent	maintain	System Availability for FY 2007 was 99.92 percent.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	98 percent or higher	99%	Met	2011-02-23
Customer Results	Service Availability	Maintain System Availability of 98 percent or higher	annual	percent	maintain	99.64 percent	2006-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	98 percent or higher	99.92 percent	Met	2011-02-23

Processes and Activities	Innovation and Improvement	Successfully test 20 percent of the NICS Contingency Plan components	annual	percent	maintain	This is a new measure in FY 2008. As of 09/30/07 the NICS Contingency Plan remains in draft.	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	20 percent tested successfully	100%	Met	2011-02-23

* - Indicates data is redacted.